

**AMENDMENT TO THE DISCUSSION DRAFT  
OFFERED BY MR. MARKEY OF MASSACHUSETTS**

Page 7, after line 20, insert the following new paragraph:

1           (5) No guarantee shall be made pursuant to an  
2           application submitted by an applicant that has been  
3           warned by a stock exchange that the average closing  
4           price of its common stock was below the stock ex-  
5           change's continued listing criteria relating to min-  
6           imum share price, and such deficiency has not been  
7           cured by the applicant.

